



PATENT

2005 JUL 12 11 1:21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ping Hsu, Tzu-Ching Tsai
Appl. No. : 10/605,217 Filing Date: 09/16/2003
Examiner : PERKINS, PAMELA E Art Unit : 2822
Docket No.: NTCP0021USA

Title: METHOD FOR FABRICATING A DEEP TRENCH CAPACITOR

To : Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 09/30/2004 (~~Attachment 1~~). And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 10/01/2004 (Attachment 1). But the above-identified application was issued as a patent on 11/09/2004 without publication process (Attachment 2).

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

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Sincerely yours,

Winston Hsu

Date:

JUL 08 2005

Winston Hsu, Patent Agent No. 41,526

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Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

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Deposit Account Statement



**United States
Patent and
Trademark Office**

Attachment 1

**Deposit Account Statement**

Requested Statement Month: October 2004
 Deposit Account Number: 503105
 Name: NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION
 Attention: WINSTON HSU
 Address: 5F NO.389 FU-HO RD
 City: TAIPEI HSIEN
 State:
 Zip:
 Country: TAIWAN, PROVINCE OF CHINA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/01	60	60481476	IFTP0005USA	8007	\$20.00	\$74,873.00
10/01	68	10711738	FTCP0043USA	2001	\$395.00	\$74,478.00
10/01	69	10711738	FTCP0043USA	8021	\$40.00	\$74,438.00
10/01	70	10711739	MTKP0105USA	1001	\$790.00	\$73,648.00
10/01	71	10711739	MTKP0105USA	8021	\$40.00	\$73,608.00
10/01	72	10711740	SERP0001USA	2001	\$395.00	\$73,213.00
10/01	73	10711740	SERP0001USA	8021	\$40.00	\$73,173.00
10/01	190	09764361	API078	1501	\$1,330.00	\$71,843.00
10/01	191	09764361	API078	1504	\$300.00	\$71,543.00
10/01	192	10604896	ADTP0089USA	1501	\$1,330.00	\$70,213.00
10/01	193	10604896	ADTP0089USA	1504	\$300.00	\$69,913.00
10/01	194	10604741	NAUP0534USA	1501	\$1,330.00	\$68,583.00
10/01	194	10605217	NTCP0021USA	1501	\$1,330.00	\$67,253.00
10/01	195	10604741	NAUP0534USA	1504	\$300.00	\$66,953.00
✓ 10/01	195	10605217	NTCP0021USA	1504	\$300.00	\$66,653.00
10/01	196	10604458	SISP0003USA	1501	\$1,330.00	\$65,323.00
10/01	196	10065586	IACP0021USA	1501	\$1,330.00	\$63,993.00
10/01	197	10604458	SISP0003USA	1504	\$300.00	\$63,693.00
10/01	197	10065586	IACP0021USA	1504	\$300.00	\$63,393.00
10/01	198	10248283	EMEP0045USA	2501	\$665.00	\$62,728.00
10/01	198	10249671	CMOP0022USA	1501	\$1,330.00	\$61,398.00
10/01	199	10248283	EMEP0045USA	1504	\$300.00	\$61,098.00
10/01	199	10249671	CMOP0022USA	1504	\$300.00	\$60,798.00
10/01	200	10605095	MTKP0081USA	1501	\$1,330.00	\$59,468.00
10/01	200	10248495	EMEP0019USA	2501	\$665.00	\$58,803.00
10/01	201	10605095	MTKP0081USA	1504	\$300.00	\$58,503.00
10/01	201	10248495	EMEP0019USA	1504	\$300.00	\$58,203.00
10/01	202	09682317	ASTP0019USA	1501	\$1,330.00	\$56,873.00
10/01	202	10248282	EMEP0037USA	2501	\$665.00	\$56,208.00
10/01	203	09682317	ASTP0019USA	1504	\$300.00	\$55,908.00
10/01	203	10248282	EMEP0037USA	1504	\$300.00	\$55,608.00
10/01	204	10604359	ACMP0126USA	1501	\$1,330.00	\$54,278.00
10/01	204	10249889	TOPP0019USA	1501	\$1,330.00	\$52,948.00

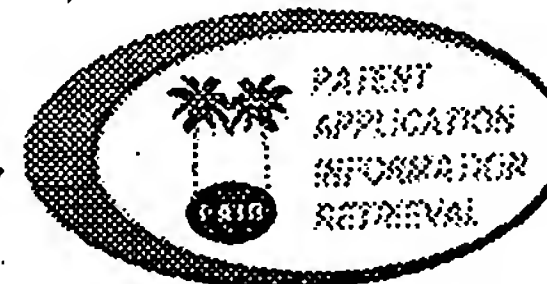
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PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 7-7-2005::3:32:35 E.T.

Search results for application number: 10/605,217			
Application Number:	10/605,217	Customer Number:	27765
Filing or 371(c) Date:	09-16-2003	Status:	Patented Case
Application Type:	Utility	Status Date:	10-21-2004
Examiner Name:	PERKINS, PAMELA E	Location:	ELECTRONIC
Group Art Unit:	2822	Location Date:	-
Confirmation Number:	2216	Earliest Publication No:	-
Attorney Docket Number:	NTCP0021USA	Earliest Publication Date:	-
Class/ Sub-Class:	438/386	Patent Number:	6,815,307
First Named Inventor:	Ping Hsu, Taipei Hsien, (TW)	Issue Date of Patent:	11-09-2004
Title Of Invention:	METHOD FOR FABRICATING A DEEP TRENCH CAPACITOR		

Search Options

Assignments
Display References
Image File Wrapper
Maintenance Fees - Retrieve fees to pay
Maintenance Fees - View payment windows
Maintenance Statement - View 04 year payment window
Maintenance Statement - View 08 year payment window
Maintenance Statement - View 12 year payment window
Patent Term Adjustment History
Published Documents

Maintenance Fees Available: Mon-Fri 5:30 AM to Midnight, Sat-Sun-Hol. 7:30 AM to 8:00 PM E.T.

File History	
Date	Contents Description
11-09-2004	Recordation of Patent Grant Mailed
10-21-2004	Issue Notification Mailed
11-09-2004	Patent Issue Date Used in PTA Calculation
10-15-2004	Application Is Considered Ready for Issue
10-14-2004	Receipt into Pubs
09-30-2004	Issue Fee Payment Verified
09-30-2004	Issue Fee Payment Received
09-10-2004	Receipt into Pubs

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08-11-2004	Receipt Into Pubs
08-10-2004	Workflow - File Sent to Contractor
07-29-2004	Mail Notice of Allowance
07-27-2004	Issue Revision Completed
07-27-2004	Notice of Allowance Data Verification Completed
07-24-2004	Notice of Allowability
05-18-2004	IFW TSS Processing by Tech Center Complete
05-18-2004	Date Forwarded to Examiner
05-10-2004	Response after Non-Final Action
05-10-2004	Workflow incoming amendment IFW
02-20-2004	Mail Non-Final Rejection
02-05-2004	Non-Final Rejection
01-12-2004	Case Docketed to Examiner In GAU
01-12-2004	Case Docketed to Examiner In GAU
12-05-2003	Application Return from OIPE
12-05-2003	Application Is Now Complete
12-05-2003	Pre-Exam Office Action Withdrawn
12-05-2003	Application Return TO OIPE
12-05-2003	Application Is Now Complete
12-04-2003	Application Dispatched from OIPE
12-05-2003	Application Is Now Complete
11-30-2003	Cleared by OIPE CSR
09-16-2003	IFW Scan & PACR Auto Security Review
09-16-2003	Initial Exam Team nn

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PTO/SB/21 (09-04)
Approved for use through 07/31/2008. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/605,217	
	Filing Date	09/16/2003	
	First Named Inventor	Ping Hsu	
	Art Unit	2822	
	Examiner Name	PERKINS, PAMELA E	
Total Number of Pages In This Submission	6	Attorney Docket Number	NTCP0021USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the deposit account statement
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Request for Refund	2. A copy of the application data and transaction history
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
	Request for a refund of publication fee	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corp.		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	JUL 08 2005	Reg. No.	41,526

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Signature			
Typed or printed name		Date	

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